

Safe, Clean Water Program

Programming of Partial Funding



Purpose

Los Angeles County Flood Control District Code Section 18.07.B.2.g states that activities included in a Stormwater Investment Plan (SIP) should typically be recommended (i.e., programmed) to receive funding for their total estimated cost or requested need. Experience to-date in the program reveals that there may be circumstances that warrant flexibility to allow Watershed Area Steering Committees (WASCs) to recommend a lesser amount than the amount requested in an Infrastructure Program Project Applicant's (IPPA's) or Scientific Studies Applicant's (SSA's) application ("partial funding"). The purpose of this guidance is to describe the process to address partial funding awards, providing flexibility to the WASCs during the development of SIPs.

Project and Study Eligibility

This guidance will address partial funding awards for IPPA's and SSA's that applied during each current SIP cycle. This guidance does not change the ability for WASCs to program the award of a request for full funding over a series of program years.

Process

During the SIP development and programming phase, the WASCs are authorized to recommend partial funding (for each current budget year or future projections) only if the IPPA or SSA demonstrates, to the satisfaction of the WASC, a willingness and ability to complete the full project or study scope identified in the application and submitted Feasibility Study, if applicable, with Safe, Clean Water Program (SCWP) partial funding.

Any IPPA that receives partial funding as described in this guidance will be required to assert and demonstrate that the project scope as described in the project application and submitted Feasibility Study will still be achieved using outside funding to fill the gap left by the partial award. This is required to maintain the applicability of the SCWP project score, which is allocated because of the scope and budget of a submitted project. A project can be awarded partial funding for a complete design phase, where a subsequent construction phase (or phases), once funded, would achieve the submitted benefits and therefore retain the SCWP score. However, a partial award that would diminish the scope of a project and therefore reduce the SCWP score of that project is not allowed – this situation would require the revised project to be resubmitted for scoring in the next program year.

Any SSA that receives partial funding as described in this guidance will be required to assert that the study scope as described in the study application will still be achieved using outside funding to fill the gap left by the partial award.

This programming of partial funding will be initiated by the WASC when an offer of partial funding is made to an IPPA or SSA. The IPPA or SSA will need to concur that the circumstance are applicable, confirm capabilities in accordance with this guidance, and accept the offer for partial funding. Once the WASC decides to offer partial funding, SCWP staff will engage with the IPPA or SSA to complete a Funding Reduction Concurrence (FRC) form and collect supporting documentation to share with the WASC. The

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FRC process will allow IPPAs and SSAs to clarify details and provide justification for the partial funding award before the WASC considers it for inclusion into a Stormwater Investment Plan.

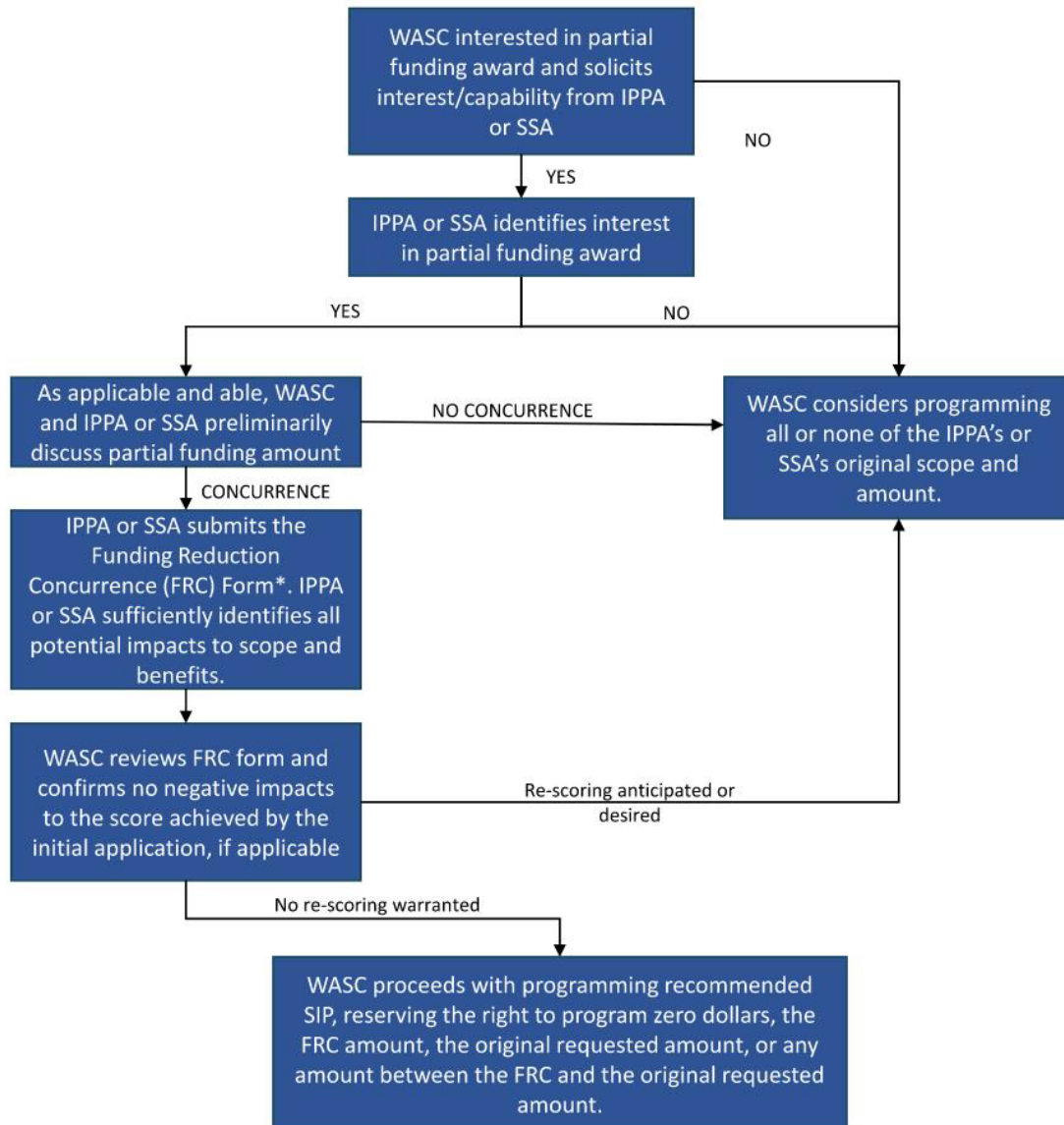
The partial funding award should not result in ANY reduction to the scope or benefits of (or the score assigned to) the project or study as identified in the application (and as submitted in the Feasibility Study, if applicable). De minimis additions to the scope that would be anticipated to increase the project score or improve the study may be considered through the FRC process, provided that the applicant demonstrates, to the satisfaction of the WASC, that any additional cost, in addition to the shortfall from the original request, will be covered by other funds and the project or study will be fully completed. The WASC may elect to recommend funding in any amount between the amount stated in the FRC and the amount requested in the project's or study's application.

Once the WASC reviews the FRC and recommends a partial funding award for the project or study, the FRC becomes an attachment to the SIP.

See Figure 1 and Attachment A for the Flowchart and the FRC form.

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* - FRC form is due at least one week before the WASC meeting. WASC to defer further discussion regarding programming the subject project or study in the recommended SIP until next meeting

Figure 1: Flowchart for Partial Funding recommendation for projects and studies in each current SIP cycle.

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ATTACHMENT A: Funding Reduction Concurrence (FRC) FORM

The purpose of this FRC form is to demonstrate an IPPA’s or SSA’s willingness and ability to complete a project or study with a lesser amount than the amount requested in its application (“partial funding”), in order for the project or study to be recommended in a Stormwater Investment Plan for partial funding. The partial funding award must not negatively impact the score achieved by the initial application or result in any changes to the project’s or study’s scope or benefits as identified in the application and submitted Feasibility Study, if applicable. The IPPA or SSA is required to submit a FRC form to facilitate the partial funding process.

Project/Study Name	
Project/Study Lead	
Watershed Area(s)	

Brief description of why and how the funding request included in the application is being reduced (e.g. Project or Study will be phased, more information has become available, additional leveraged funding was secured, etc.):

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Revised Eligible Expenditure Projections:

Funding Year	Fiscal Year	Amount	Description/Phase
1			
2			
3			
4			
5			
Future Funding			
TOTAL			

A: Total Original SCW Funding Request	
B: Total Revised SCW Funding Award	
C: Shortfall (A-B)	

Compensation plan for shortfall – Include evidence of the status (must be assurance of timely secured funds for WASC to consider partial funding award) and amount of each additional funding source to ensure completion of all activities proposed in the application and submitted Feasibility Study, if applicable (cost share, grants, SCW Municipal Program funds, subsequent SCW funding request, etc.). Also, include description of the which elements will be funded by this SCW funding request and by funds outside this SCW funding request to demonstrate all elements are funded. For phased projects or studies, provide information on additional funding sources to complete all activities proposed in the phased scope of work (if any).

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If applicable, provide a description and justification of any de minimis scope changes that will maintain or increase the Project Score or improve the Scientific Study. De minimis scope changes should be within the parameters and design of the project or study scope identified in the submitted Feasibility Study or Scientific Study application and any associated additional cost, in addition to the shortfall from the original request, must be covered by other non-SCWP funds.

I confirm that partial funding award will not negatively impact the achieved score or result in changes to Project or Study scope or benefits provided as described in the application and submitted Feasibility Study, if applicable. YES

If Project or Study is to be considered in phases, I understand that funding for future phases is not guaranteed, and that submission of a new application is required for funding for future phases not shown in the current SIP, and that annual funding is at the discretion of the WASC, ROC, and ultimately the Board of Supervisors. YES N/A

Name _____

Organization _____

Signature _____

Date _____